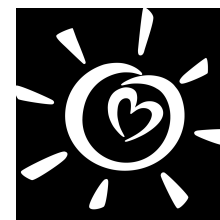


Utilization Management

L.A. Care Health Plan

Please read carefully.



L.A. Care
HEALTH PLAN®

How to contact health plan staff if you have questions about Utilization Management issues

When L.A. Care makes a decision to approve or deny your care, this is called Utilization Management (UM). If you have questions about UM or our UM Process, you can call L.A. Care during business hours:

Monday through Friday, 8:00 a.m. – 5:00 p.m.

The number to call is **1-855-270-2327 (TTY 711)**.

This call is free.

Members and practitioners may use the toll-free number to communicate with UM staff. Collect calls regarding UM issues are accepted.

To learn more about how decisions about your care are made and services that need an OK, see your Member Handbook (also called “A Helpful Guide to Your Health Care Benefits”).

L.A. Care Health Plan provides access to staff for members and practitioners seeking information regarding the Utilization Management process and the authorization of care.

UM staff is available during normal business hours Monday through Friday, 8:00 a.m. – 5:00 p.m. After hours staff is available for urgent requests and assistance to members and practitioners.

Referrals and Prior Authorizations

A referral is a request for health care services that are not usually provided by your PCP. All health care services must be approved by your primary care physician before you get them. This is called prior authorization. Prior authorization is required for all in-network and out-of-network providers.

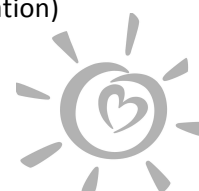
There are different types of referral requests with different timeframes as follows:

- Routine or regular referral – 5 business days
- Urgent referral – 24 to 72 hours
- Emergency referral – same day

Please call L.A. Care if you do not get a response within the above time frames.

The following services do not require a prior authorization:

- Emergency services (go to “*Emergency Care Services*” section for more information)
- Preventive health services (including immunizations)
- Obstetrician and gynecological services in-network



All health care services are reviewed, approved, or denied according to medical necessity. Call L.A. Care's Member Services Department if you would like a copy of the policies and procedures used to decide if a service is medically necessary. The number is

1-855-270-2327 (TTY 711).

Members who need language assistance to discuss UM issues may contact L.A. Care at

1-855-270-2327 (TTY 711).

Additional instructions on how to obtain authorizations and communicate with UM staff are listed in your Member Handbook or L.A. Care Provider Manual.

Case Management and How to Self-Refer

Care Management is a special program for helping members with chronic conditions or special health care needs such as diabetes, heart conditions, cancer or other medical or physical disabilities. Care Managers and Care Coordinators can help you:

- Make a plan for your care with your doctor Understand your health care benefits
- Organize your doctor and specialist appointments Locate community resources

For more information about care management, or to make a referral, call L.A. Care's Care Management Department at 1-844-200-0104 and ask to speak with a Care Manager.

How to get specialty care when you need it, like services that require a referral, behavioral health services and hospital services

How to get care from a specialist

Your PCP doctor is the doctor who makes sure you get the care you need when you need it. Sometimes your PCP doctor will send you to a specialist. A "specialist" is a type of doctor who is an expert in some kind of health care. These specialists are within your PCP doctor and L.A. Care's network. If you need care from a specialist, your PCP doctor must approve these services before you receive them. Routine referrals to a specialist take up to five working days and rush referrals cannot take more than three calendar days (for example, when you need medical care right away or have an urgent condition). Female members who need Ob/Gyn care don't need their PCP doctor's okay to go to an Ob/Gyn or family planning doctor with L.A. Care.

Behavioral Health Care

Mental health services. Mental health services may include treatment for anxiety, behavior health problems, or depression. Your PCP doctor will provide you with some outpatient mental health services, within the scope of their training and practice. Specialized mental health services may be needed for services beyond your PCP doctor's training and practice. L.A. Care partners with Beacon Health Options to assist members that feel depressed or have other mental health and substance use needs. You can call Beacon any time of day, any day of the week to get you the help you need. Your information will be kept private.

Beacon's contact info:

- 1-877-344-2858 (TTY 1-800-735-2929)
- beaconhs.com

How to appeal a decision or ask for an independent review if you are denied services, coverage or benefits; or if you are disenrolled from your health plan

If you don't agree with the outcome of your grievance

If you do not hear from L.A. Care within 30 calendar days, or you do not agree with the decision about your grievance, you may file a grievance with the Department of Managed Health Care (DMHC). For information on how to file a grievance with DMHC, go to "Contacting the Department of Managed Health Care (DMHC)" section below.

How to file a grievance for health care services denied or delayed as not medically necessary

If you believe a health care service has been wrongly denied, changed, or delayed by L.A. Care because it was found not medically necessary, you may file a grievance. This is known as a disputed health care service.

Within five calendar days of receiving your grievance, you will get a letter from L.A. Care saying we have received your grievance and that we are working on it. The letter will also let you know the name of the person working on your grievance. Then, within 30 calendar days you will receive a letter explaining how the grievance was resolved.

Filing a grievance or requesting a State Fair Hearing does not affect your medical benefits. If you file a grievance or a request for a State Fair Hearing, you may be able to continue a medical service while the grievance is being resolved. To find out more about continuing a medical service, call L.A. Care.

If you do not hear from L.A. Care within 30 calendar days, or you do not agree with the decision about your grievance, you may request a State Fair Hearing and you may file a grievance with DMHC. For more information about State Fair Hearing, go to the "State Fair Hearing" section below. For information on how to file a grievance with DMHC, go to "Contacting the Department of Managed Health Care (DMHC)" section below.

How to file a grievance for urgent cases

Examples of urgent cases include:

- Severe pain
- Potential loss of life, limb or major bodily function
- Immediate and serious deterioration of your health
- In urgent cases, you can request an "expedited review" of your grievance. You will receive a call and/or a letter about your grievance within 24 hours. A decision will be made by L.A. Care within three calendar days (or 72 hours) from the day your grievance was received.

You have the right to request an expedited "State Fair Hearing." You can request an expedited "State Fair Hearing" and file a grievance with or L.A. Care. For more information about State Fair Hearing, go to the "State Fair Hearing" section below.

You have the right to file an urgent grievance with DMHC without filing a grievance with L.A. Care. For information on how to file a grievance with DMHC, go to "Contacting the Department of Managed Health Care (DMHC)" section below.

If you don't agree with the outcome of your grievance for urgent cases

If you do not hear from L.A. Care within 30 calendar days, or you do not agree with the decision about your grievance, you may request a State Fair Hearing and you may file a grievance with the Department of Managed Health Care (DMHC). For more information about State Fair Hearing, go to the "State Fair Hearing" section below. For information on how to file a grievance with DMHC, go to "Contacting the Department of Managed Health Care (DMHC)" section below.

Independent Medical Review

You may request an Independent Medical Review (IMR) from DMHC. You have up to six months from the date of denial to file an IMR. You will receive information on how to file an IMR with your denial letter. You may reach DMHC toll-free at 1-888-HMO-2219 or 1-888-466-2219.

There are no fees for an IMR. You have the right to provide information to support your request for an IMR. After the IMR application is submitted, a decision not to take part in the IMR process may cause you to lose certain legal rights to pursue legal action against the plan.

When to File an Independent Medical Review (IMR)

You may file an IMR if you meet the following requirements:

- Your doctor says you need a health care service because it is medically necessary and it is denied; or
- You received urgent or emergency services determined to be necessary and they were denied; or
- You have seen a network doctor for the diagnosis or treatment of the medical condition, even if the health care services were not recommended.
- The disputed health care service is denied, changed or delayed by L.A. Care based in whole or in part on a decision that the health care service is not medically necessary, and
- You have filed a grievance with L.A. Care and the health care service is still denied, changed, delayed or the grievance remains unresolved after 30 days.
- You must first go through the L.A. Care grievance process, before applying for an IMR. In special cases, the DMHC may not require you to follow the L.A. Care grievance process before filing an IMR. The dispute will be submitted to a DMHC medical specialist if it is eligible for an IMR. The specialist will make an independent decision on whether or not the care is medically necessary. You will receive a copy of the IMR decision from DMHC. If it is decided that the service is medically necessary, L.A. Care will provide the health care service.

Non-urgent cases

For non-urgent cases, the IMR decision must be made within 30 days. The 30-day period starts when your application and all documents are received by DMHC.

Urgent cases

If your grievance is urgent and requires fast review, you may bring it to DMHC's attention right away. You will not be required to participate in the health plan grievance process.

For urgent cases the IMR decision must be made within three calendar days from the time your information is received.

Examples of urgent cases include:

- Severe pain
- Potential loss of life, limb or major bodily function
- Immediate and serious deterioration of your health
- IMRs for Experimental and Investigational Therapies (IMR-EIT)

You can request an IMR-EIT through the DMHC when a medical service, drug or equipment is denied because it is experimental or investigational in nature. L.A. Care will notify you in writing that you may request an IMR-EIT within five days of the decision to deny coverage. You have up to six months from the date of denial to file an IMR-EIT. You may give information to the IMR-EIT panel. The IMR-EIT panel will give you a written decision within 30 days from when your request was received. If your doctor thinks that the proposed therapy will be less effective if delayed, the decision will be made within seven days of the request for an expedited review. In urgent cases the IMR-EIT panel will give you a decision within three business days from the time your information is received.

You may file an IMR-EIT if you meet the following requirements:

1. You have a very serious condition that is "life threatening" or "debilitating" (for example, terminal cancer).
2. Your doctor must certify that
 - The standard treatments were not or will not be effective, or the standard treatments were not medically appropriate, or the proposed treatment will be the most effective.
3. Your doctor certifies in writing that:
 - drug, device, procedure or other therapy is likely to work better than the standard treatment
 - Based on two medical and scientific documents, the recommended treatment is likely to work better than the standard treatment.
4. You have been denied a drug, equipment, procedure or other therapy recommended or requested by your doctor.
5. The treatment would normally be covered as a benefit, but L.A. Care has determined that it is experimental or investigational in nature.

To find out more, get help with the IMR or IMREIT process, or ask for an application form, please call L.A. Care.

You do not need to participate in L.A. Care's grievance process before asking for an IMR of a decision to deny coverage on the basis that the treatment is experimental or investigational in nature.

Discrimination is Against the Law

L.A. Care Health Plan complies with applicable Federal civil rights laws and does not discriminate on the basis of race, color, national origin, age, disability, or sex. L.A. Care Health Plan does not exclude people or treat them differently because of race, color, national origin, age, disability, or sex.

L.A. Care Health Plan:

- Provides free aids and services to people with disabilities to communicate effectively with us, such as:
 - Qualified sign language interpreters
 - Written information in other formats (large print, audio, accessible electronic formats, other formats)
- Provides free language services to people whose primary language is not English, such as:
 - Qualified interpreters
 - Information written in other languages

If you need these services, contact our Member Services Department at 1-855-270-2327 (TTY 711).

If you believe that L.A. Care Health Plan has failed to provide these services or discriminated in another way on the basis of race, color, national origin, age, disability, or sex, you can file a grievance/complaint with the Civil Rights Coordinator of L.A. Care Health Plan. You have two options in which you may file a grievance/complaint:

You may call in a grievance/complaint at:

Member Services Department – 1-855-270-2327 (TTY 711)

Or you may send in a written grievance/complaint to:

Civil Rights Coordinator
c/o Compliance Department
L.A. Care Health Plan
1055 West 7th Street, 10th Floor
Los Angeles, CA 90017
Email: civilrightscordinator@lacare.org

You can file a grievance/complaint in person, by mail, by telephone, or by email. If you need help filing a grievance/complaint, the Civil Rights Coordinator via the Member Services Department is available to help you. You can also file a civil rights complaint with the U.S. Department of Health and Human Services, Office for Civil Rights electronically through the Office for Civil Rights Complaint Portal, available at

<https://ocrportal.hhs.gov/ocr/portal/lobby.jsf>, or by mail or phone at:

U.S. Department of Health and Human Services
200 Independence Avenue SW., Room 509F, HHH Building
Washington, DC 20201
1-800-868-1019, 1-800-537-7697 (TDD).

Complaint forms are available at <http://www.hhs.gov/ocr/office/file/index.html>.

Getting Help in Other Languages

English

To request free interpreting services, information in your language or in another format, call L.A. Care at **1-855-270-2327** or TTY **711**.

Spanish

Para solicitar servicios de interpretación gratuitos o información en su idioma o en otro formato, llame a L.A. Care al **1-855-270-2327** o al **711** para TTY.